

COD Current Environment Assessment Change History Log

	Suggested Changes	Page	Author	Date	Change Made Y/N
1	In Overview, first sentence: insert "and business requirements" between "systems" and "that."	1.0.1	Mary Haldane	16-Mar	Y
2	First paragraph, third sentence: insert " and data necessary to establish financial need and eligibility for federal Title IV programs, determining eligibility and award amounts," after "application data."	1.0.1	Mary Haldane	16-Mar	Y
3	First paragraph, last sentence: We do more than transmit application data so insert "and data necessary to establish financial need and eligibility for federal Title IV programs" after "applicant data."	1.0.1	Mary Haldane	16-Mar	Y
4	Second paragraph, first sentence: "Education" should be "Educational."	1.0.1	Mary Haldane	16-Mar	Y
5	Second paragraph, second sentence: The sentence is awkward, reword to "Schools determine the recipients and the recipients' awarded amounts within federal regulations and the Higher Education Act and determine financial aid packages for the recipients."	1.0.1	Mary Haldane	16-Mar	Y
6	Second paragraph, fourth sentence: It is not true that institutions can only disburse funds up to the allocation amount in the Federal Perkins and Federal Work-Study Programs. In Perkins, they can disburse up to the school's "level of lending" and in Work-Study the allocation plus the match.	1.0.1	Mary Haldane	16-Mar	Y
7	Second page of the Executive Summary, under Systems, first bullet: RFMS is not a 3 tiered client server architecture. We are multi tiered.	1.0.2	ACS	16-Mar	Y

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8	Processes table - please add "X" to the lastline (Inter- and intra-system balancing and reconciliation) for Campus-Based.	1.0.2	JoAnn Pease	16-Mar	Y
9	Campus-Based column, bottom row, in table: Inter- and intra-system balancing & reconciliation, should also have an X, because Rich Bennett, the guy from Joann Pease's shop who has worked with us on Risk, told me in October that the staff reconciles the CBS authorization file with GAPS monthly.	1.0.2	Kitty Wooley	16-Mar	Y
10	Below table, Systems, 1st bullet: In the Feb. 22 RFMS briefing, we were told that the Oracle financials in use are "Public Sector," not "Government," because the latter had not yet been released when RFMS came up. Not sure if this is splitting hairs or is significant.	1.0.2	Kitty Wooley	16-Mar	Y
11	Third paragraph, fourth sentence: insert " and data necessary to establish financial need and eligibility for federal Title IV programs, determining eligibility and award amounts," after "application data."	1.0.2	Mary Haldane	16-Mar	Y
12	For Campus Based, some figures are out-of-date, but generally are fine.	2.2	JoAnn Pease	16-Mar	N

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13	For Campus Based, I don't agree that we cannot calculate unit based costs, when we do track obligations (not disbursed funds from GAPS) and a schools grid, as well as their base guarantee.	2.2	JoAnn Pease	16-Mar	N
14	Page 3.0.1, Section 3.0,second paragraph, add to end of paragraph: There is no expiration date for institution level archive requirements.	3.0.1	ACS	16-Mar	Y
15	Third paragraph, last sentence, add after the word again: unless the institution can demonstrate need.	3.0.1	ACS	16-Mar	Y
16	Section 3.1 selects certain business processes to expand upon in Appendix A. It is not clear in the assessment why some business processes are selected and others are not. I'm not suggesting you change the content, but only that you offer an explanation at the beginning of the section. (See related comment on Appendix A below).	3.1	Karen Sefton	16-Mar	Y

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17	The footer on the first page of section 3.1 (no page number) incorrectly identifies Appendix B for RFMS business process requirements. It should be Appendix A. Please number all of the pages.	3.1	Karen Sefton	16-Mar	Y
18	Page 3.1, Section 3.1 under indirect origination and disbursement, 5th line: Delete Pell customer service does not provide service to applicants. Also the footnote for * should read Appendix A not Appendix B	3.1	ACS	16-Mar	Y
19	Paragraph entitled Process Origination, 4th line, add word corrected, after accepted	3.2.1	ACS	16-Mar	Y
20	Page 3.2.1, Section 3.2, paragraph entitled Communicate Award: Add to end before period: (not available for award year 2000/2001).	3.2.1	ACS	16-Mar	Y

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21	For the majority of schools (on advance and just-in-time), this is true. But that is not the case with reimbursement schools. At least not now (although I think there is a CM to change this...but I think there are programming issues dealing with batch integrity). They don't know the results of edits until an analyst manually processes those records. Also, on page 3.2.1., under Determine Pell Award, it states that schools determine the Pell Grant amount. While for all intents and purposes this is true, in actuality, RFMS calculates the payment amount for schools that submit special disbursement records as opposed to regular disbursement records. For that matter, I don't see anywhere that there are two types of disbursement records that can be transmitted to the Department. Since this is a 'high-level' document, I'm not sure how nit-picky we should be.	3.2.1	Jesus Moya via Paul Stonner	16-Mar	Y
22	Page 3.2.2, paragraph entitled Communicate Disbursement should indicate that this is limited by funding method: Advance Funded is 30 days, JIT is 5 days, Reimbursement is 0 days.	3.2.2	ACS	16-Mar	Y
23	Paragraph entitled Process Disbursement, add to end of 1st sentence: and existence of an origination record.	3.2.2	ACS	16-Mar	Y
24	There is a fully manual process to "approve and pay" before disbursement batches are released to GAPS for school funding. This is not clear in the assessment paper. This manual process consumes a lot man hours of Pell Operations staff and is on our agenda as an urgent item to	3.2.2	Karen Sefton	16-Mar	Y
25	The entire interface with the Oracle subledger needs to be expanded in the assessment in order to present the complete picture of Pell funding. You do not want to duplicate this process in your IPT design.	3.2.2	Karen Sefton	16-Mar	Y

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26	These are very high level. I'm not familiar with campus-based or direct loans, but I do know that for Pell RFMS, schools do not always get an acknowledgement within 24 hours of submitting data as stated in Process Disbursement, page 3.2.2.	3.2.2	Jesus Moya via Paul Stonner	16-Mar	Y
27	Page 3.3.1, 6th box down on right side; add after Discoverer: QMF, SPUFI	3.3.1	ACS	16-Mar	
28	Please verify the data on page 3.3.2. The numbers of transactions does not reflect the total number submitted through RFMS, as rejected transactions are not included. I believe this page intends to show that there is one origination transaction and one disbursement transaction for each Pell Grant. However, in the course of normal processing, there are rejected transactions. There are also MRR transactions for transfer students that are not included on this page.	3.3.2	Karen Sefton	16-Mar	Y
29	Annual Pell Grant disbursements are upwards of \$7 billion. It's unclear what the \$5 million figure on this page represents.	3.3.2	Karen Sefton	16-Mar	Y
30	Page 3.3.2 Do not know where these numbers came from. We have 8 Billion in annual disbursement amounts and the number of pell grants and origination and disbursement transactions are not 3 million.	3.3.2	ACS	16-Mar	

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31	Page 3.3.3 has similarly confusing data. This page needs to make clear that the 2-3 hours to process incoming batch files is strictly processing within RFMS and creating acknowledgements of receipt back to schools--this processing does not include the "backend" of GAPS funding.	3.3.3	Karen Sefton	16-Mar	Y
32	EDEExpress is missing from the list of interfaces.	3.3.3	Karen Sefton	16-Mar	Y
33	Page 3.3.3 Under average time to process incoming batch files. The number of hours cited are based on a cycle of origination, disbursement, and data requests	3.3.3	ACS	16-Mar	
34	Flowcharts are out of date, but we haven't asked our contractor (who's contract EXPIRES in a few months) to update this lately. We no longer have a staff called ISB. Now both CBFOB and ISB are one in the same.	4.0.1	JoAnn Pease	16-Mar	N
35	Appendix A Page 1 of 12: 23rd box down: last word of 1st line change to record not records	Appendix A	ACS	16-Mar	Y
36	24th box down add to end of last sentence: Annual award in cases where the standard payment schedule is not applicable.	Appendix A	ACS	16-Mar	Y
37	Page 5 of 12 : Change reference to IFMD to Pell Operations and put parentheses around the last sentence.	Appendix A	ACS	16-Mar	Y
38	Page 11 of 12: 9th and 11th box down: Take out because this refers to the old system and add MRR - Multiple Reporting Record and YTD - Year to Date	Appendix A	ACS	16-Mar	Y

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39	Page 12 of 12 : Change all references to IFMD to Pell Operations	Appendix A	ACS	16-Mar	Y
40	The purpose of Appendix A (and B and C) needs to be stated. It appears to be a running list of business functions selected at random and strung together in a table. (See related comments above). Some of the items are dependent on others, but you can not see this in the chosen presentation. You should also add a section heading that says "Appendix A".	Appendix A(B and C)	Karen Sefton	16-Mar	Y
41	Also, Contracting Officer Technical Representative should be Contracting Officer's Technical Representative throughout.	Appendix A(B and C)	Karen Sefton	16-Mar	Y

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Comment

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Comment
Same as Suggested Change #2.
Noted but no change to document required. Amounts are taken from FY 99 invoices and approved by COTR.

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Comment
Discussed with JoAnn and agreed that for this analysis the unit is a Perkins Loan, Supplemental Grant, or work study. Since funds disbursed are not tracked at this level within the CBS, unit based costs were not calculated. The wording in the document was changed to reflect this information.
The business requirements selected relate directly to the functionality that the COD system must support. Additional text added to footnote.

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Comment

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Comment
New paragraph added for "Manage cash with GAPS"

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Comment
Added comment to indicate that rejected and MRR transactions are not included
Responses on a current environment questionnaire for RFMS indicated that \$5 billion, not million, are authorized as gaps disbursements. Changed amount to \$7 billion.

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Comment
Added comment to clearly state 2-3 hours to process incoming batch files is strictly processing within RFMS and creating acknowledgements of receipt back to schools. Also stating that this processing does not include the "backend" of GAPS funding.
See comment for #30
Spoke with Joann to confirm that though out-of-date, nothing major is missing from flow charts. No change to document required.

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Comment
"Note" was added to top of appendix.